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| APPLICATION NO.  | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|--|-------------|----------------------|---------------------|------------------|
| 10/630,028   | 07/30/2003  | L. Stephen Buchanan  | 1415                | 7774             |
| 7590   | 03/09/2006  |                      | EXAMINER            |                  |
| Henry M. Bissell<br>Suite 106<br>6820 La Tijera Boulevard<br>Los Angeles, CA 90045 |             |                      | BUMGARNER, MELBA N  |                  |
|  |             |                      | ART UNIT            | PAPER NUMBER     |
|  |             |                      | 3732                |                  |

DATE MAILED: 03/09/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

| <b>Office Action Summary</b> | Application No.            | Applicant(s)         |
|------------------------------|----------------------------|----------------------|
|                              | 10/630,028                 | BUCHANAN, L. STEPHEN |
|                              | Examiner<br>Melba Bumgamer | Art Unit<br>3732     |

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

## Disposition of Claims

4)  Claim(s) 1-24 is/are pending in the application.  
4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.  
5)  Claim(s) \_\_\_\_\_ is/are allowed.  
6)  Claim(s) 1-24 is/are rejected.  
7)  Claim(s) \_\_\_\_\_ is/are objected to.  
8)  Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

9)  The specification is objected to by the Examiner.

10)  The drawing(s) filed on \_\_\_\_\_ is/are: a)  accepted or b)  objected to by the Examiner.

Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).

Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

11)  The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

12)  Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a)  All    b)  Some \* c)  None of:  
1.  Certified copies of the priority documents have been received.  
2.  Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
3.  Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

1)  Notice of References Cited (PTO-892)  
2)  Notice of Draftsperson's Patent Drawing Review (PTO-948)  
3)  Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_  
4)  Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_  
5)  Notice of Informal Patent Application (PTO-152)  
6)  Other: \_\_\_\_\_

## DETAILED ACTION

### *Claim Rejections - 35 USC § 102*

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

2. Claims 1-6, 9, 10, and 14-22 are rejected under 35 U.S.C. 102(b) as being anticipated by Wong et al. (5,855,479). Wong et al. disclose an endodontic file 10 comprising a round shank having a first diameter, a tip at the end of the file remote from the shank, the tip having a second diameter which is smaller than the first diameter, and a tapered flute portion extending between the tip and shank and having at least one spiral cutting edge throughout the length, wherein the portion has at least two different degrees of taper as best seen in figures 15 and 17. The file further includes a handle (column 1 line 36). The shank is provided with a latch-grip portion (column 1 line 29). A first part of the portion is provided with a first fixed degree of taper and a second part of portion is provided with a second fixed degree of taper, which is less than first fixed degree of taper. The taper of the second part is 0.02mm/mm. The taper of the first part is 0.08 mm/mm. The taper of the first part is 0.10 mm/mm. The tapers of the first and second parts are in a selected ratio with respect to each other, wherein the ratio is within a range of three to six. The point of demarcation between the first and second parts is at a preselected distance from the file tip. Wong et al. show a set of endodontic files, wherein the taper of the first part varies from file to file.

***Claim Rejections - 35 USC § 103***

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4. Claims 7, 8, 11-13, 23, and 24 are rejected under 35 U.S.C. 103(a) as being unpatentable over Wong et al. Wong et al. disclose an endodontic file that shows the limitations as described above; however, they do not show the taper of the second part of the portion being 0.04 mm/mm. It would have been an obvious matter of choice to one of ordinary skill in the art at the time the invention was made as to the specific values of known sizes of files or parts of files for use in root canals. The specific values are not disclosed as critical to the claimed invention.

***Conclusion***

5. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Wong et al. (6,206,695), Roane (4,611,508), Reynaud (4,738,616), and Senia et al. (4,850,867) are cited to show the state of the art with respect to endodontic files.

6. Any inquiry concerning this communication from the examiner should be directed to Melba Bumgarner whose telephone number is 571-272-4709. The examiner can normally be reached on Mon-Fri.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kevin Shaver can be reached at 571-272-4720. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



Melba Bumgarner  
Primary Examiner